

City of Alameda

REQUEST FOR PROPOSAL



The Public Works Department is seeking proposals for
A Comprehensive Stormwater Fee Study

Issued: September 7, 2018

Submittal Deadline:
11:00 AM on September 27, 2018

Interviews:
October 4, 2018 between 10 a.m. and 4 p.m.; or
October 9, 2018 between 1 p.m. and 4 p.m.

City of Alameda
Public Works Department
950 West Mall Square, Room 110
Alameda, CA 94501

I. NOTICE INVITING PROPOSALS

The City of Alameda Public Works Department (“City”) invites proposals from qualified organizations to conduct a comprehensive stormwater fee study. The study will include recommendations to update the City’s clean stormwater fees and the plan to meet the City’s stormwater regulatory compliance requirements, infrastructure improvements, and ongoing operational and maintenance needs. The selected Firm will likely provide the full range of services for the project, although there may be exceptions (e.g., polling).

The City of Alameda is a charter city with a population of over 75,000. Alameda has had a stormwater fee since the early 1990s, prompted by the onset of federal stormwater regulations directed at municipal separate storm sewer system operations. The City’s stormwater fund, receiving the revenues of the annual stormwater fee, is failing to keep up with the significant operations and capital requirements of the stormwater system and the State of California’s municipal stormwater permit program requirements. Formal actions to adjust the City’s stormwater fee last occurred more than 15 years ago, thus there has not been a detailed review of the stormwater fee since then. Most of the revenue generated from this fee funds Public Works operation and maintenance of the stormwater system, and the department’s clean water program and municipal stormwater permit responsibilities.

Alameda’s stormwater fee has remained flat at approximately \$56 per single family home for more than a decade. As a result, the stormwater fund will run a deficit and may run out of money as early as Fiscal Year (FY) 2020. At the same time, mandates of the State and Regional Water Quality Control Board are requiring an even greater investment in new stormwater infrastructure.

Alameda’s City Council has discussed the stormwater fee and its possible adjustment in the context of a discussion of various revenue measures that began in February 2018. The City Council has evaluated a \$95 million infrastructure bond, a half-cent sales tax, or other revenue measures for the June and November 2018 ballots. In discussing these revenue measures, Public Works identified nearly \$100 million in stormwater and green infrastructure needs that are beyond the City’s current and projected funding capacity. In July, the City Council selected and placed a half-cent sales tax on the November ballot. It is a general tax not dedicated to any specific purpose.

Alamedans have indicated some willingness to raise local funds to address stormwater and other local needs. A February 2018 tracking survey, focused solely on the infrastructure bond, found Alamedans’ approval at 70-73%, and a June 2018 survey found 60%+ support for the half-cent sales tax. During City Council’s discussion of revenue measures, there was interest in considering the infrastructure bond and/or a balloted stormwater fee adjustment for future balloting opportunities.

Two other projects will bear on Alameda’s stormwater rate study and may contribute to the City’s consideration of adjusting its stormwater rate. By June 2019, the City is updating its 2009 climate plan per City Council direction on December 5, 2017 (see <https://alameda.legistar.com/LegislationDetail.aspx?ID=3218375&GUID=FA8BB732-5A6B-4546-98F7-5E8DCE706375>).

While a publicly reviewable draft of that plan will likely be available by February 2019, that plan is likely to include a recommendation to adjust the stormwater fee to meet current needs and, if possible, begin to address some of the stormwater-related improvements required to address the impacts of sea level rise. Also, the City is required to complete a Green Infrastructure Plan that identifies long-term planning for implementing municipal green infrastructure improvements and submit that Plan to the State by

September 30, 2019. Public Works has engaged with the environmental engineering firm NCE to develop the Green Infrastructure Plan.

Background documents related to Alameda's stormwater system are at <https://alamedaca.gov/public-works/public-works-key-documents>:

- Storm Drain Master Plan (2008)
- Storm Drain Master Plan (2008), Appendix C
- Storm Drain Master Plan (2008), 18" Sea Level Rise(Part 1)
- Storm Drain Master Plan (2008), 18" Sea Level Rise (Part 2)
- Storm Drain Pump Station Assessment (2011)
- Storm Drain Pump Station Assessment (2011), Appendix D
- Storm Drain Master Plan Update Memo
- City of Alameda's Long-Term Trash Load Reduction Plan, January 2014
- San Francisco Bay Region Municipal Regional Stormwater NPDES Permit, November 2015

For stormwater fee background information, see:

- Fee calculation: <https://alamedaca.gov/public-works/sewer-and-storm-water-fees>
- Stormwater Management Ordinance here:
https://library.municode.com/ca/alameda/codes/code_of_ordinances?nodeId=CHXVIIISEWA_ARTIIISTWAMADICO

For budget documents, see <https://alamedaca.gov/finance/17-18budget>:

- 2017-2019 capital budget (scroll down and click through the *Capital Improvement Programs* link)
- Operations and maintenance budgets (scroll down and click through the *Public Works* link, then go to pages T-22-23)
- Stormwater fund information (scroll down and click through the *Fund Information* link, then go to Fund 351, Urban Runoff, at page W-52)

For polling information, see:

- June 2017: <https://alamedaca.gov/node/5401>
- January 2018: infrastructure bond at Exhibit 2 and slides marked on bottom left with FM3 at this link: <https://alameda.legistar.com/LegislationDetail.aspx?ID=3340622&GUID=E2F1C600-0D38-48B1-A6BE-F507471E1D44>
- June 2018: general purpose sales tax increase at Exhibit 5, *Revised Presentation*, pps. 8-9, from this link: <https://alameda.legistar.com/LegislationDetail.aspx?ID=3542036&GUID=7E0A52B2-E8E7-4CDD-89E9-6E5D6FB5D0E1>

It is also necessary for Proposers to read the information contained in this Request for Proposal (RFP) to understand how to submit the proposal, what documents must accompany the proposal and what legal obligations the Proposer is agreeing to by submitting a successful proposal. Any Proposer that wishes to be considered for this work must submit the information requested in this RFP. Proposals must be received by the City Public Works Department by **11:00 AM on Thursday, September 27, 2018.**

Selected proposers may be invited to an oral interview for no more than 90 minutes on either **October 4 between 10 a.m. and 4 p.m., or October 9 between 1 p.m. and 4 p.m.**

IA. SUBMITTAL OF PROPOSAL

Proposers shall submit one (1) complete double-sided copy of their proposal, one (1) unbound copy-ready copy, and one (1) electronic copy to lgarland@alamedaca.gov and anowacki@alamedaca.gov. For the paper copies, please ensure a minimum of 20% post-consumer recycled content. Proposals must be in a sealed envelope bearing the caption **"City of Alameda – Comprehensive Stormwater Fee Study Proposal"**. Proposals shall be submitted to:

ATTN: Liam Garland, Public Works Director
Andrew Nowacki, Associate Engineer
City of Alameda, Public Works Department
950 West Mall Square, Room 110
Alameda, CA 94501
RE: Comprehensive Stormwater Fee Study Proposal

Paper copies of proposals may be submitted in person at the Public Works Department, or by mail, but must be received by **11:00 AM on Thursday, September 27, 2018**. Late proposals will not be considered. The City assumes no responsibility for delays caused by delivery service. Postmarking by the due date will not substitute for actual receipt. Any proposal received prior to this date may be modified by written addendum, or withdrawn by written request, from the Proposer to the City, up to the official time when all proposals are due.

IB. PRE- PROPOSAL QUESTIONS

Questions received telephonically or by mail will not be accepted. Questions received by email at the email addresses below by **noon on September 12** will be responded to by an addendum posted to this RFP by Tuesday, September 18, at noon:

Liam Garland, Public Works Director, lgarland@alamedaca.gov
Andrew Nowacki, Associate Engineer, anowacki@alamedaca.gov

IC. CONDITIONS OF REQUEST FOR PROPOSAL

General Conditions.

The City reserves the right to cancel or reject all or a portion or portions of the request for proposals without notice. Further, the City makes no representations that any agreement will be awarded to any organization submitting a proposal. The City reserves the right to reject any and all proposals submitted in response to this request or any addenda thereto.

The City also reserves the right to reject any sub-consultant or individual working on a consultant team and to replace the sub-consultant or individual with a mutually acceptable replacement.

Liability of Costs and Responsibility.

The City shall not be liable for any costs incurred in response to this request for proposals. All costs shall be borne by the person or organization responding to the request. The person or organization responding to the request shall hold the City harmless from any and all liability, claim or expense whatsoever incurred by or on behalf of that person or organization. All submitted material becomes the property of the City of Alameda.

The selected lead consultant will be required to assume responsibility for all services offered in the proposal whether or not they possess them within their organization. The selected lead consultant will be the sole point of contact with regard to contractual matters, including payment of any and all charges resulting from the contract.

Validity.

The proposer agrees to be bound by its proposal for in all respects until one such proposal has been accepted by the City and an agreement fully executed, or until their proposal has been specifically rejected by the City, whichever occurs first.

Service Provider (Consultant) Agreement.

A sample consultant agreement has been provided in Exhibit A for the proposer's review and comment. If a proposer wishes to take exception to any of the terms and conditions contained in the consultant agreement, these should be identified specifically; otherwise, it will be assumed that the proposer is willing to enter into the agreement as it is written. Failure to identify contractual issues of dispute can later be the basis for the City disqualifying a proposer. Any exceptions to terms, conditions, or other requirements must be clearly stated. Otherwise, the City will consider that all items offered are in strict compliance with the RFP, and the successful proposer will be responsible for compliance. The City will consider such exceptions as part of the evaluation process which may constitute grounds for rejection of the proposal. The consultant agreement will not be executed by the City without first being signed by the proposer.

Permits and Licenses.

Proposer, and all of proposer's sub-consultants, at its and/or their sole expense, shall obtain and maintain during the term of any agreement, all appropriate permits, certificates and licenses including, but not limited to, a City Business License which will be required in connection with the performance of services hereunder.

Proposer's Representative.

The person signing the proposal must be a legal representative of the firm authorized to bind the firm to an agreement in the event of the award.

Insurance.

General Liability, Automobile, Professional Liability, and Worker's compensation insurance are required in the amount set forth in the attached sample Service Provider (Consultant) Agreement.

II. PROPOSER QUALIFICATIONS

Proposers must meet the following minimum qualifications in order to be considered responsive to this RFP:

1. Shall have at least five (5) years of experience in providing to, or working with, public agencies.
2. Shall have developed multiple stormwater rate studies (or their close equivalent) for cities in the last five (5) years.
3. Shall have managed multiple projects for cities to adjust stormwater rates through a balloting process in the last (5) years.

4. Shall have the appropriate professional and technical background as well as access to adequate resources to fulfill the stated scope of services.
5. Shall have the ability to provide technical and *strategic* advice in the development and execution of the project.
6. Shall have the ability to deliver concise, cogent presentations to City Council on the engineering, financial, and non-engineering and non-financial aspects of this work.
7. Shall have sufficient availability to complete requested services in a reasonable amount of time.

III. PROPOSAL REQUIREMENTS

All proposals shall include the following minimum information:

Approach.

A short, general description of the intended approach to this proposal that demonstrates (a) the proposer's understanding of the services that may be requested of them, (b) the proposer's ability to address them, and (c) the proposer's understanding of the local context. This approach should touch on community education, polling, financial engineering, options to consider, balloting and/or other mechanisms to create and/or adjust fees, and implementation.

Description of Organization, Management and Team Members.

Provide a description of the team/consultant organization, and a work plan that identifies the personnel to be assigned to each task. The organization description should clearly identify who will be the project manager for this term contract, and the day to day contact person for the job. Include resumes of key personnel and indicate which branch office they work out of. The City expects to work with the same project manager during the entire year of the contract, but expects that the team will be tailored to the size of each individual project.

Organization Qualifications.

Provide an outline of the organization qualifications indicating relevant background experience and capabilities for this work. Give examples of work accomplished that is similar in size and content to the work anticipated here. If the proposing firm is likely to be using a sub-consultant for the type of work, provide information on the sub-consultant firm and personnel likely to be used.

Project Team.

Include a proposed team organizational structure. Identify all personnel who will be assigned to work on this project. There can be no change of key personnel once the proposal is submitted without the prior approval of the City. Identify the project manager. It is important that the proposed project manager have sufficient time to represent the City on this project as an extension of City Staff. If different from the project manager, please identify the team member who would be making presentations to public boards and commissions and that team member's experience in delivering those presentations.

The City is seeking a firm, project team, and project manager who can provide expert, *strategic* advice and support on this project. Given the City has not performed a rate study on the existing storm water fee in more than a decade, the organization, project team, and project manager are expected to be asking (and sometimes) answering questions that City staff may not even know to ask.

Project Team Qualifications.

Identify the education, professional credentials, licensing, and experience of each member of the Team. Describe each team member's responsibilities for the proposal and their experience working on similar proposals.

Scope of Work.

The proposal should contain a description of each work task with an explanation of how the proposer plans to approach the tasks and the steps that will be taken to complete the task. The scope is expected to cover the aspects of the project from beginning to end, including community education, polling, financial engineering (methodology and reporting), alternative options to consider, balloting and/or other mechanisms to create and/or adjust fees, and implementation.

If there are key questions (or assumptions) that drive the scope of work, budget, and/or schedule, please identify those questions (or assumptions) and, based on the proposers' best understanding of Alameda's context and your experience from work in other cities, recommend answers (or alternatives).

If polling is suggested, please identify so and whether that polling is done by the proposer, a subcontractor, and/or FM3, a polling firm the City has used in the last several years. If the latter, please share a brief proposed scope of work that the City would consider sharing with FM3.

Proposed Project Budget and Schedule.

The budget should indicate the costs and hours for the total project, on a task by task basis, and on a subfirm basis, inclusive of reimbursables. Prices quoted must be binding for a minimum of one year.

Time is of this essence for this agreement. The start of the project is anticipated to be November 1, 2019. Given the complexity of the project, the City is seeking proposers' expert recommendations on the project's schedule. The schedule should account for the 2018 discussions by City Council of revenue measures, the climate plan (to be adopted by June 2019), the green infrastructure plan (to be submitted to the State by November 2019), and the City's biannual budget process. (The budget process kicks off in January 2019, includes at least one public workshop in May 2019, and a final adopted budget in June 2019.) The project's schedule will be revised in final negotiations with the selected firm.

Proposers are encouraged to consider a phased approach to the project. For example, the City may award a contract for the first phase (e.g, development of the rate study), and then seek City Council's authorization to amend the existing contract to add scope and budget for the next phase (e.g., community outreach, polling, and/or balloting). The request to City Council for additional scope and budget would also serve as formal permission to advance to the next phase of the project.

To assist the proposers in constructing the budget and schedule, please be aware the City Manager can authorize contract awards of up to \$75,000 for consulting services, and City Council's authorization is required for any contract above that amount.

City's support of project. Please identify the information and/or other support that will be required of City staff and/or its contractors and consultants in the course of the project.

References, Related Experience and Examples of Work.

Provide at least three (3) client references for the firm and the individual consultants to be assigned to the project. Include name, title, organization, address, and telephone number.

IV. EVALUATION CRITERIA

The City will select the most qualified proposal based on the following factors. Selected proposers may be invited to an oral interview for no more than 90 minutes on either October 4 between 10 a.m. and 4 p.m., or October 9 between 1 p.m. and 4 pm.

1. Ability of the Proposer to Carry Out and Manage the Proposed Project.

An assessment of the past experience of the organization in general. Qualities and indicators that will receive consideration include the number and types of similar and relevant projects the organization or its employees have completed; the variety of comparable projects completed and a demonstration of the organization's ability to undertake this project, general level of experience in the areas of supervision, observing and monitoring projects; the organization's ability to realize timetables and quality control objectives; and the demonstrated general ability to bring about a successful completion of the project under the proposer's direction.

2. Capabilities of the Consultant Organization and/or Team.

Assessment of the capabilities of the organization and individuals that will be engaged in the project. Qualities and indicators that will receive consideration include what professionals will be doing/working on each task; the various professional, technical, and educational achievements and registrations of each organization and individuals involved; the applicable experience of the proposed assigned staff, and the specific experience gained on similar projects. Capabilities include the ability of the organization, individuals, and project manager to share both strategic and non-financial and non-engineering guidance in order to deliver a successful outcome at the end of this project.

3. Understanding of Local Context.

The success of this project requires an understanding of the local context. Proposers will show understanding of this local context and its effect on the project, and fluency with the Alameda's existing stormwater infrastructure, its fee and ordinance, budget, recent polling, related projects, and legislative calendar.

4. Timeliness of the Consultant Organization and/or Team.

Qualities and indicators that will receive consideration include the number and size of the projects presently being performed by each organization and the assigned staff; the status of existing projects; the past ability of the organization to deliver projects on a timely basis; and the nature of existing projects that are behind schedule or past the completion date.

5. Proximity to the Project Involved for the Proposer and/or Team.

The application of this criteria shall include an assessment of the geographic proximity to the project; the location of the office from which the proposed project will be administered; the perceived response time and general availability of the proposer's management to be on site; the perceived effect that project management location will have on price and the ability of the project to be expedited on a timely basis; and the availability of special travel or communication plans

which would effectively mitigate difficulties associated with location. *Firms located in the City of Alameda, or who have a team member who lives in Alameda, may be given local preference.*

6. Willingness to Comply with the Proposed Agreement Terms.

A sample agreement is attached (**see EXHIBIT A**). Proposals will be rated based on the exceptions taken to the proposed contract.

7. Cost of Proposed Services

Cost, while not determinative, may be considered in the selection process. The selected firm will be expected to maintain the proposed billing rates throughout the length of the contract.

The City reserves the right to determine if the services offered by the Proposer are acceptable in meeting their requirements.

Once the City selects a preferred Proposer, all other Proposers will be notified by the City in writing within fourteen working days of the decision.

List of EXHIBITS for this REQUEST FOR PROPOSAL:

Exhibit A: Sample Agreement

SERVICE PROVIDER AGREEMENT

THIS SERVICE PROVIDER AGREEMENT ("Agreement") is entered into this ____ day of _____, 2018, by and between CITY OF ALAMEDA, a municipal corporation (the "City"), and COMPANY (a California corporation, partnership, sole proprietor, individual), whose address is ADDRESS (the "Provider"), in reference to the following:

RECITALS:

- A. City is a municipal corporation duly organized and validly existing under the laws of the State of California with the power to carry on its business as it is now being conducted under the statutes of the State of California and the Charter of the City.
- B. The City is in need of assistance from a qualified organization to conduct a comprehensive stormwater fee study. City staff issued a Request for Proposal (RFP) on September 7, 2018 and after a submittal period of 21 days received _____ (number) of timely submitted proposals. Staff reviewed the proposals, interviewed qualified firms and selected the service provider that best meets the City's needs
- C. Provider possesses the skill, experience, ability, background, certification and knowledge to provide the services described in this Agreement on the terms and conditions described herein.
- D. City and Provider desire to enter into an agreement for conducting a comprehensive stormwater fee study, upon the terms and conditions herein.

NOW, THEREFORE, it is mutually agreed by and between the undersigned parties as follows:

1. TERM:

The term of this Agreement shall commence on the ____ day of _____ 20__, and shall terminate on the ____ day of _____ 20__, unless terminated earlier as set forth herein.

2. SERVICES TO BE PERFORMED:

Provider agrees to do all necessary work at its own cost and expense, to furnish all labor, tools, equipment, materials, except as otherwise specified, and to do all necessary work included in Exhibit A as requested. The Provider acknowledges that the work plan included in Exhibit A is tentative and does not commit the City to request Provider to perform all tasks included therein.

3. COMPENSATION TO PROVIDER:

a. By the 7th day of each month, Provider shall submit to the City an invoice for the total amount of work done the previous month. Pricing and accounting of charges are to be according to the fee schedule as set forth in Exhibit B and incorporated herein by this reference. Extra work must be approved in writing by the City Manager or his/her designee prior to performance and shall be paid on a Time and Material basis as set forth in Exhibit B.

b. The total compensation for the work under this Agreement is not to exceed \$_____.

4. TIME IS OF THE ESSENCE:

Provider and City agree that time is of the essence regarding the performance of this Agreement.

5. STANDARD OF CARE:

Provider agrees to perform all services hereunder in a manner commensurate with the prevailing standards of like professionals or service providers, as applicable, in the San Francisco Bay Area and agrees that all services shall be performed by qualified and experienced personnel who are not employed by the City.

6. INDEPENDENT PARTIES:

Provider hereby declares that Provider is engaged as an independent business and Provider agrees to perform the services as an independent contractor. The manner and means of conducting the services and tasks are under the control of Provider, except to the extent they are limited by statute, rule or regulation and the express terms of this Agreement. No civil service status or other right of employment will be acquired by virtue of Provider's services. None of the benefits provided by City to its employees, including but not limited to unemployment insurance, workers' compensation plans, vacation and sick leave are available from City to Provider, its employees or agents. Deductions shall not be made for any state or federal taxes, FICA payments, PERS payments, or other purposes normally associated with an employer-employee relationship from any compensation due to Provider. Payments of the above items, if required, are the responsibility of Provider.

7. IMMIGRATION REFORM AND CONTROL ACT (IRCA):

Provider assumes any and all responsibility for verifying the identity and employment authorization of all of its employees performing work hereunder, pursuant to all applicable IRCA or other federal, or state rules and regulations. Provider shall indemnify, defend, and hold City harmless from and against any loss, damage, liability, costs or expenses arising from any noncompliance of this provision by Provider.

8. NON-DISCRIMINATION:

Consistent with City's policy and state and federal law that harassment and discrimination are unacceptable conduct, Provider agrees that harassment or discrimination directed toward a job applicant, a City employee, or a citizen by Provider or Provider's employee on the basis of race, religious creed, color, national origin, ancestry, handicap, disability, marital status, pregnancy, sex, age, or sexual orientation will not be tolerated. Provider agrees that any and all violations of this provision shall constitute a material breach of this Agreement.

9. HOLD HARMLESS:

a. Provider shall indemnify, defend, and hold harmless the City, its City Council, boards, commissions, officials, employees, and volunteers ("**Indemnitees**") from and against any and all loss, damages, liability, claims, suits, costs and expenses whatsoever, including reasonable

attorneys' fees ("**Claims**"), arising from or in any manner connected to Provider's negligent act or omission, whether alleged or actual, regarding performance of services or work conducted or performed pursuant to this Agreement. If Claims are filed against Indemnites which allege negligence on behalf of the Provider, Provider shall have no right of reimbursement against Indemnites for the costs of defense even if negligence is not found on the part of Provider. However, Provider shall not be obligated to indemnify Indemnites from Claims arising from the sole negligence or willful misconduct of Indemnites.

b. **Indemnification for Claims for Professional Liability Only:** As to Claims for professional liability only, Provider's obligation to defend Indemnites (as set forth above) is limited as provided in California Civil Code Section 2782.8.

c. Provider's obligation to indemnify, defend and hold harmless Indemnities shall expressly survive the expiration or early termination of this Agreement.

10. **INSURANCE:**

a. On or before the commencement of the terms of this Agreement, Provider shall furnish the City's Risk Manager with certificates showing the type, amount, class of operations covered, effective dates and dates of expiration of insurance coverage in compliance with subsections 10A, B, C and D. Such certificates, which do not limit Provider's indemnification, shall also contain substantially the following statement:

"Should any of the above insurance covered by this certificate be canceled or coverage reduced before the expiration date thereof, the insurer affording coverage shall provide ten (10) days' advance written notice to the City of Alameda. Attention: Risk Manager."

b. It is agreed that Provider shall maintain in force at all times during the performance of this Agreement all appropriate coverage of insurance required by this Agreement with an insurance company that is acceptable to City and licensed to do insurance business in the State of California. Endorsements naming the City, its City Council, boards, commissions, officials, employees, and volunteers as additional insured shall be submitted with the insurance certificates.

A. **COVERAGE:**

Provider shall maintain the following insurance coverage:

(1) **Workers' Compensation:**

Statutory coverage as required by the State of California.

(2) **Liability:**

Commercial general liability coverage in the following minimum limits:

Bodily Injury:	\$1,000,000 each occurrence
	\$2,000,000 aggregate - all other

Property Damage: \$1,000,000 each occurrence
 \$2,000,000 aggregate

If submitted, combined single limit policy with aggregate limits in the amounts of \$2,000,000 will be considered equivalent to the required minimum limits shown above.

(3) Automotive:

Comprehensive automobile liability coverage (any auto) in the following minimum limits:

Bodily injury: \$1,000,000 each occurrence
Property Damage: \$1,000,000 each occurrence

or

Combined Single Limit: \$2,000,000 each occurrence

(4) Professional Liability:

Professional liability insurance which includes coverage for the professional acts, errors and omissions of Provider in the following minimum limits:

\$1,000,000 each occurrence

B. SUBROGATION WAIVER:

Provider agrees that in the event of loss due to any of the perils for which it has agreed to provide comprehensive general and automotive liability insurance, Provider shall look solely to its insurance for recovery. Provider hereby grants to City, on behalf of any insurer providing comprehensive general and automotive liability insurance to either Provider or City with respect to the services of Provider herein, a waiver of any right to subrogation which any such insurer of said Provider may acquire against City by virtue of the payment of any loss under such insurance.

C. FAILURE TO SECURE:

If Provider at any time during the term hereof should fail to secure or maintain the foregoing insurance, City shall be permitted to obtain such insurance in the Provider's name or as an agent of the Provider and shall be compensated by the Provider for the costs of the insurance premiums at the maximum rate permitted by law and computed from the date written notice is received that the premiums have not been paid.

D. ADDITIONAL INSURED:

City, its City Council, boards, commissions, officials, employees, and volunteers shall be named as an additional insured under all insurance coverages, except worker's compensation and professional liability insurance. The naming of an additional insured shall not affect any recovery to which such additional insured would be entitled under this policy if not named as such additional insured. An additional insured named herein shall not be held liable for any premium, deductible portion of any loss, or expense of any nature on this policy or any extension thereof. Any other

insurance held by an additional insured shall not be required to contribute anything toward any loss or expense covered by the insurance provided by this policy.

E. SUFFICIENCY OF INSURANCE:

The insurance limits required by City are not represented as being sufficient to protect Provider. Provider is advised to consult Provider's insurance broker to determine adequate coverage for Provider.

11. CONFLICT OF INTEREST:

Provider warrants that it is not a conflict of interest for Provider to perform the services required by this Agreement. Provider may be required to fill out a conflict of interest form if the services provided under this Agreement requires Provider to make certain governmental decisions or serve in a staff capacity as defined in Title 2, Division 6, Section 18700 of the California Code of Regulations.

12. PROHIBITION AGAINST TRANSFERS:

a. Provider shall not assign, sublease, hypothecate, or transfer this Agreement, or any interest therein, directly or indirectly, by operation of law or otherwise, without prior written consent of the City Manager. Provider shall submit a written request for consent to transfer to the City Manager at least thirty (30) days in advance of the desired transfer. The City Manager may consent or reject such request in his/her sole and absolute discretion. Any attempt to do so without said consent shall be null and void, and any assignee, sublessee, hypothecate or transferee shall acquire no right or interest by reason of such attempted assignment, hypothecation or transfer. However, claims for money against the City under this Agreement may be assigned by Provider to a bank, trust company or other financial institution without prior written consent.

b. The sale, assignment, transfer or other disposition of any of the issued and outstanding capital stock of Provider, or of the interest of any general partner or joint venturer or syndicate member or cotenant, if Provider is a partnership or joint venture or syndicate or cotenancy, which shall result in changing the control of Provider, shall be construed as an assignment of this Agreement. Control means fifty percent or more of the voting power of the corporation.

13. APPROVAL OF SUB-PROVIDERS:

a. Only those persons and/or businesses whose names and resumes are attached to this Agreement shall be used in the performance of this Agreement. However, if after the start of this Agreement, Provider wishes to use sub-providers, at no additional costs to the City, then Provider shall submit a written request for consent to add sub-providers including the names of the sub-providers and the reasons for the request to the City Manager at least five (5) days in advance. The City Manager may consent or reject such requests in his/her sole and absolute discretion.

b. Each sub-provider shall be required to furnish proof of workers' compensation insurance and shall also be required to carry general, automobile and professional liability insurance (as applicable) in reasonable conformity to the insurance carried by the Provider. In addition, any tasks or services performed by sub-providers shall be subject to each provision of this Agreement.

c. The requirements in this Section 13 shall not apply to persons who are merely providing materials, supplies, data or information which the Provider then analyzes and incorporates into its work product.

14. PERMITS AND LICENSES:

Provider, at its sole expense, shall obtain and maintain during the term of this Agreement, all appropriate permits, certificates and licenses, including a City Business License that may be required in connection with the performance of the services and tasks hereunder.

15. REPORTS:

a. Each and every report, draft, work product, map, record and other document produced, prepared or caused to be prepared by Provider pursuant to or in connection with this Agreement shall be the exclusive property of City.

b. No report, information or other data given to or prepared or assembled by Provider pursuant to this Agreement shall be made available to any individual or organization by Provider without prior approval of the City Manager or his/her designee.

c. Provider shall, at such time and in such form as City Manager or his/her designee may require, furnish reports concerning the status of services and tasks required under this Agreement.

16. RECORDS:

a. Provider shall maintain complete and accurate records with respect to the services, tasks, work, documents and data in sufficient detail to permit an evaluation of the Provider's performance under the Agreement, as well as maintain books and records related to sales, costs, expenses, receipts and other such information required by City that relate to the performance of the services and tasks under this Agreement (collectively the "**Records**").

b. All Records shall be maintained in accordance with generally accepted accounting principles and shall be clearly identified and readily accessible. Provider shall provide free access to the Records to the representatives of City or its designees during regular business hours upon reasonable prior notice. The City has the right to examine and audit the Records, and to make copies or transcripts therefrom as necessary, and to allow inspection of all proceedings and activities related to this Agreement. Such Records, together with supporting documents, shall be kept separate from other documents and records and shall be maintained by Provider for a period of three (3) years after receipt of final payment.

c. If supplemental examination or audit of the Records is necessary due to concerns raised by City's preliminary examination or audit of records, and the City's supplemental examination or audit of the records discloses a failure to adhere to appropriate internal financial controls, or other breach of this Agreement or failure to act in good faith, then Provider shall reimburse the City for all reasonable costs and expenses associated with the supplemental examination or audit.

17. NOTICES:

a. All notices shall be in writing and delivered: (i) by hand; or (ii) sent by registered, express, or certified mail, with return receipt requested or with delivery confirmation requested from the U.S. postal service; or (iii) sent by overnight or same day courier service at the party's respective address listed in this Section.

b. Each notice shall be deemed to have been received on the earlier to occur of: (x) actual delivery or the date on which delivery is refused; or (y) three (3) days after notice is deposited in the U.S. mail or with a courier service in the manner described above (Sundays and City holidays excepted).

c. Either party may, at any time, change its notice address (other than to a post office box address) by giving the other party three (3) days prior written notice of the new address.

d. All notices, demands, requests, or approvals from Provider to City shall be addressed to City at:

City of Alameda
Public Works Department
950 W. Mall Square #110
Alameda, CA 94501
ATTENTION: Liam Garland, Public Works Director
Andrew Nowacki, Associate Civil Engineer
Ph: (510) 747-7930 / Fax: (510) 769-6030

e. All notices, demands, requests, or approvals from City to Provider shall be addressed to Provider at:

[Provider Name]
[Department]
[Address]
[City, State, zip]
ATTENTION: [Title]
Ph: (xxx) [xxx-xxxx] / Fax: (xxx) [xxx-xxxx]

18. SAFETY:

a. The Provider will be solely and completely responsible for conditions of all vehicles owned or operated by Provider, including the safety of all persons and property during performance of the services and tasks under this Agreement. This requirement will apply continuously and not be limited to normal working hours. In addition, Provider will comply with all safety provisions in conformance with U.S. Department of Labor Occupational Safety and Health Act, any equivalent state law, and all other applicable federal, state, county and local laws, ordinances, codes, and any regulations that may be detailed in other parts of the Agreement. Where any of these are in conflict, the more stringent requirements will be followed. The Provider's failure to thoroughly familiarize itself with the aforementioned safety provisions will not relieve it from compliance with the obligations and penalties set forth herein.

b. The Provider will immediately notify the City within 24 hours of any incident of death, serious personal injury or substantial property damage that occurs in connection with the performance of this Agreement. The Provider will promptly submit to the City a written report of all incidents that occur in connection with this Agreement. This report must include the following information: (i) name and address of injured or deceased person(s); (ii) name and address of Provider's employee(s) involved in the incident; (iii) name and address of Provider's liability insurance carrier; (iv) a detailed description of the incident; and (v) a police report.

19. TERMINATION:

a. In the event Provider fails or refuses to perform any of the provisions hereof at the time and in the manner required hereunder, Provider shall be deemed in default in the performance of this Agreement. If such default is not cured within two (2) business days after receipt by Provider from City of written notice of default, specifying the nature of such default and the steps necessary to cure such default; City may thereafter immediately terminate the Agreement forthwith by giving to the Provider written notice thereof.

b. The foregoing notwithstanding, City shall have the option, at its sole discretion and without cause, of terminating this Agreement by giving seven (7) days' prior written notice to Provider as provided herein.

c. Upon termination of this Agreement either for cause or for convenience, each party shall pay to the other party that portion of compensation specified in this Agreement that is earned and unpaid prior to the effective date of termination. The obligation of the parties under this Section 19.c. shall survive the expiration or early termination of this Agreement.

20. ATTORNEY'S FEES:

In the event of the bringing of any action or suit by a party hereto against the other party by reason of any breach of any covenants, conditions, obligation or provision arising out of this Agreement, the prevailing party shall be entitled to recover from the non-prevailing party all of its costs and expenses of the action or suit, including reasonable attorneys' fees, experts' fees, all court costs and other costs of action incurred by the prevailing party in connection with the prosecution or defense of such action and enforcing or establishing its rights hereunder (whether or not such action is prosecuted to a judgment). For the purposes of this Agreement, reasonable fees of attorneys of the Alameda City Attorney shall be based on the fees regularly charged by private attorneys with the equivalent number of years of experience in the subject matter area of the law for which the Alameda City Attorney's services were rendered who practice in Alameda County in law firms with approximately the same number of attorneys as employed by the Alameda City Attorney's Office.

21. COMPLIANCE WITH ALL APPLICABLE LAWS:

During the Term of this Agreement, Provider shall keep fully informed of all existing and future state and federal laws and all municipal ordinances and regulations of the City of Alameda which affect the manner in which the services or tasks are to be performed by the Provider, as well as all such orders and decrees of bodies or tribunals having any jurisdiction or authority over the same. Provider shall comply with all applicable laws, state and federal and all ordinances, rules and regulations enacted or issued by City.

22. CONFLICT OF LAW:

This Agreement shall be interpreted under, and enforced by the laws of the State of California without regard to any choice of law rules which may direct the application of laws of another jurisdiction. The Agreement and obligations of the parties are subject to all valid laws, orders, rules, and regulations of the authorities having jurisdiction over this Agreement (or the successors of those authorities.) Any suits brought pursuant to this Agreement shall be filed with the courts of the County of Alameda, State of California.

23. WAIVER:

A waiver by City of any breach of any term, covenant, or condition contained herein shall not be deemed to be a waiver of any subsequent breach of the same or any other term, covenant, or condition contained herein, whether of the same or a different character.

24. INTEGRATED CONTRACT:

The Recitals and Exhibits are a material part of this Agreement and are expressly incorporated herein. This Agreement represents the full and complete understanding of every kind or nature whatsoever between the parties hereto, and all preliminary negotiations and agreements of whatsoever kind or nature are merged herein. No verbal agreement or implied covenant shall be held to vary the provisions hereof. Any modification of this Agreement will be effective only by written execution signed by both City and Provider.

25. CAPTIONS:

The captions in this Agreement are for convenience only, are not a part of the Agreement and in no way affect, limit or amplify the terms or provisions of this Agreement.

Signatures on next page

IN WITNESS WHEREOF, the parties have caused the Agreement to be executed on the day and year first above written.

COMPANY
(A California corporation, partnership,
sole proprietor, individual)

CITY OF ALAMEDA
A Municipal Corporation

NAME
(President or Vice President)

David L. Rudat
Interim City Manager

NAME
(CFO, Treasurer, Assistant Treasurer)

RECOMMENDED FOR APPROVAL

Liam Garland
Public Works Director

APPROVED AS TO FORM:
City Attorney

Janet Kern
City Attorney

POLICY NUMBER:

COMMERCIAL GENERAL LIABILITY
CG 20 10 10 93

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - OWNERS, LESSEES or PROVIDERS FORM B

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name of Person or Organization:

City of Alameda
Public Works Department
Alameda Point, Building 1
950 West Mall Square, Room 110
Alameda, CA 94501-7558

SAMPLE

(If no entry appears above, information required to complete this endorsement will be shown in the Declarations as applicable to this endorsement.)

WHO IS AN INSURED (Section II) is amended to include as an insured the person or organization shown in the Schedule, but only with respect to liability arising out of your ongoing operations performed for that insured.

REF:

The City of Alameda, its City Council, boards and commissions, officers, employees, and volunteers are additional insured for work done on their behalf by the named insured.

PRIMARY INSURANCE:

IT IS UNDERSTOOD AND AGREED THAT THIS INSURANCE IS PRIMARY AND ANY OTHER INSURANCE MAINTAINED BY THE ADDITIONAL INSURED SHALL BE EXCESS ONLY AND NOT CONTRIBUTING WITH THIS INSURANCE.

SEVERABILITY OF INTEREST:

IT IS AGREED THAT EXCEPT WITH RESPECT TO THE LIMIT OF INSURANCE, THIS COVERAGE SHALL APPLY AS IF EACH ADDITIONAL INSURED WERE THE ONLY INSURED AND SEPARATELY TO EACH INSURED AGAINST WHOM CLAIM IS MADE OR SUIT IS BROUGHT.

WAIVER OF SUBROGATION:

IT IS UNDERSTOOD AND AGREED THAT THE COMPANY WAIVES THE RIGHT OF SUBROGATION AGAINST THE ABOVE ADDITIONAL INSURED (S), BUT ONLY AS RESPECTS THE JOB OR PREMISES DESCRIBED IN THE CERTIFICATE ATTACHED HERETO.

NOTICE OF CANCELLATION:

IT IS UNDERSTOOD AND AGREED THAT IN THE EVENT OF CANCELLATION OF THE POLICY FOR ANY REASON OTHER THAN NON-PAYMENT OF PREMIUM, 30 DAYS WRITTEN NOTICE WILL BE SENT TO THE CERTIFICATE HOLDER BY MAIL. IN THE EVENT THE POLICY IS CANCELED FOR NON-PAYMENT OF PREMIUM, 10 DAYS WRITTEN NOTICE WILL BE SENT TO THE ABOVE.

POLICY NUMBER:

COMMERCIAL AUTO
CG 20 48 02 99

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

DESIGNATED INSURED

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM
GARAGE COVERAGE FORM
MOTOR CARRIER COVERAGE FORM
TRUCKERS COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by this endorsement.

This endorsement identifies person(s) or organization(s) who are “insureds” under the Who Is An Insured Provisions of the Coverage Form. This endorsement does not alter coverage provided in the Coverage Form.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

Endorsement Effective:	Countersigned By: (Authorized Representative)
Named Insured:	

SCHEDULE

Name of Person or Organization:

City of Alameda
Public Works Department
950 West Mall Square, Room 110
Alameda, CA 94501-7558

SAMPLE

WHO IS AN INSURED (Section II) is amended to include as an insured the person or organization shown in the Schedule, but only with respect to liability arising out of your ongoing operations performed for that insured.

REF: _____

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